

Algebraically closed fields with several valuation rings

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Theorem

Let $\mathcal{O}_1, \dots, \mathcal{O}_n$ be arbitrary valuation rings on $K = K^{alg}$. The structure $(K, \mathcal{O}_1, \dots, \mathcal{O}_n)$ is...

- ① ... *always* NTP_2
- ② ... *NIP* only when the \mathcal{O}_i are pairwise comparable.

Main results

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These results are preliminary, though the case of independent valuations is in my dissertation.

Theorem

Consider an n -multi-valued field $(K, \mathcal{O}_1, \dots, \mathcal{O}_n)$. The following are equivalent:

- K is existentially closed among n -multi-valued fields.*
- $K = K^{\text{alg}}$, each \mathcal{O}_i is non-trivial ($\mathcal{O}_i \neq K$), and $\mathcal{O}_i \mathcal{O}_j = K$ for $i \neq j$.*

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So the model companion of
the theory of fields with n valuations.

is
*the theory of algebraically closed fields with n
pairwise-independent non-trivial valuations.*

Independent topologies

Definition

A collection $\mathcal{T}_1, \dots, \mathcal{T}_n$ of topologies on a set X are *independent* if

$$U_1 \cap \dots \cap U_n \neq \emptyset$$

whenever U_i is a non-empty \mathcal{T}_i -open. Equivalently, the diagonal embedding

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Theorem (Stone approximation)

If $\mathcal{T}_1, \dots, \mathcal{T}_n$ are distinct “valuation-type” topologies on a field K , they are automatically independent.

E.c. multi-valued fields

In more detail,

Lemma

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- (a) *K is existentially closed*

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- (b) For any irreducible variety V/K , the valuation topologies on $V(K)$ are independent.*

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- (d) *For any irreducible curve C/K the valuation topologies on $C(K)$ are independent.*

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One shows $(c) \implies (d) \implies (a) \implies (b) \implies (c) \iff (c')$.

Failure of QE and NIP

Consider the theory of algebraically closed fields of characteristic $\neq 2$, with two independent valuations $\mathcal{O}_1, \mathcal{O}_2$. Let \mathfrak{m}_i denote the maximal ideal of \mathcal{O}_i .

- For $i = 1, 2$, let $s_i : 1 + \mathfrak{m}_i \rightarrow 1 + \mathfrak{m}_i$ be the inverse of the squaring map.
- If $x \in 1 + \mathfrak{m}_1 \cap \mathfrak{m}_2$, then $s_1(x) = \pm s_2(x)$.

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- If $x \in 1 + \mathfrak{m}_1 \cap \mathfrak{m}_2$, then $s_1(x) = \pm s_2(x)$.
- Consider $\mathbb{Q}(i)$ with the $(1 - 2i)$ -adic and $(1 + 2i)$ -adic valuations. Then

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- The substructure generated by -4 is the same in the preceding two examples, so **quantifier elimination fails**.

- If $\epsilon_1, \epsilon_2, \dots$ is a pairwise-distinct sequence in $\mathfrak{m}_1 \cap \mathfrak{m}_2$, it turns out one can always find an x such that

$$s_1(x + \epsilon_i) = (-1)^i s_2(x + \epsilon_i)$$

Taking the ϵ_i to be indiscernible, **NIP fails**.

A similar argument works in characteristic 2. Algebraically closed fields with two valuations are never NIP.

Digression: an interesting consequence

Observation (various people)

The following statements are equivalent:

- (a) *Every strongly dependent valued field is henselian.*
- (b) *No strongly dependent field defines two independent valuations.*
- (c) *No strongly dependent field defines two incomparable valuations.*

Conjecturally, all these statements are true. The implication (a) \implies (b) uses the previous slide.

From independent valuations to arbitrary valuations

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How do we generalize to arbitrary valuations?

The tree of valuation rings on a field

Fix a field K . Let P be the poset of valuation rings on K . Then P has the following properties:

- P is a \vee -semilattice, with $\mathcal{O}_1 \vee \mathcal{O}_2 = \mathcal{O}_1 \cdot \mathcal{O}_2$
- P has a maximal element K .
- For any $a \in P$, the set $\{x \in P \mid x \geq a\}$ is totally ordered.

We will call such a poset a *tree poset*.

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Remark

If S is a finite subset of P , the upper-bounded \vee -semilattice generated by S is a finite tree poset.

Prescribing a hierarchy of valuation rings

Theorem

Fix a finite tree poset $(P, \vee, 1)$. Consider structures $(K, \mathcal{O}_a : a \in P)$ consisting of a field K and a valuation ring \mathcal{O}_a for each $a \in P$. Consider the following theories:

- T_P^0 asserts that $\mathcal{O}_1 = K$ and the map $a \mapsto \mathcal{O}_a$ is weakly order-preserving.
- T_P asserts that $K = K^{alg}$ and the map $a \mapsto \mathcal{O}_a$ is a strictly order-preserving homomorphism of upper-bounded \vee -semilattices.

Then T_P is the model companion of T_P^0 .

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Remark

Up to definable expansions, every multi-valued algebraically closed field is a model of T_P for appropriately chosen P .

Prescribing a hierarchy of valuation rings

Fix a finite tree poset $(P, \leq, 1)$.

- Let a_1, \dots, a_n enumerate the maximal elements of $P \setminus \{1\}$. Let $P_i = \{x \in P \mid x \leq a_i\}$.
- Note that each P_i is a finite tree poset.

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- A model of T_P^0 can be thought of as a field K with valuation rings $\mathcal{O}_1, \dots, \mathcal{O}_n$, and a $T_{P_i}^0$ structure on the i th residue field k_i .

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- Such a structure is a model of T_P if $(K, \mathcal{O}_1, \dots, \mathcal{O}_n)$ is existentially closed and each residue field is a model of T_{P_i} .

Multi-valued fields with residue structure

For $i = 1, \dots, n$ let T_i be a model-complete 1-sorted expansion of ACF. Let T be the theory of $(n + 1)$ -sorted structures (K, k_1, \dots, k_n) , with

- A field structure on K
- A residue map $K \dashrightarrow k_i$ for each i
- A $(T_i)_\forall$ -structure on each k_i .

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Lemma

A model $(K, k_1, \dots, k_n) \models T$ is e.c. exactly when the following conditions hold:

- $K = K^{\text{alg}}$
- Each \mathcal{O}_i is non-trivial and the \mathcal{O}_i are pairwise-independent.
- $k_i \models T_i$ for all i .

Amalgamation over algebraically closed bases

Fix a finite tree poset P .

Theorem

In the category of models of T_P^0 , the amalgamation problem

$$\begin{array}{ccc} K_0 & \longrightarrow & K_1 \\ \downarrow & & \\ & & K_2 \end{array}$$

can be solved whenever $K_0 = K_0^{alg}$.

Proof of amalgamation

By induction using the following:

Lemma

Let

$$\begin{array}{ccc} K_0 & \longrightarrow & K_1 \\ \downarrow & & \downarrow \\ K_2 & \longrightarrow & K_3 \end{array}$$

be a diagram of fields such that $K_0 = K_0^{\text{alg}}$ and $K_1 \otimes_{K_0} K_2$ injects into K_3 . Let $\mathcal{O}_1, \mathcal{O}_2$ be valuation rings on K_1, K_2 having the same restriction to K_0 . Then there is \mathcal{O}_3 on K_3 extending \mathcal{O}_1 and \mathcal{O}_2 . Moreover, \mathcal{O}_3 can be chosen so that

$$\text{res}(K_1) \otimes_{\text{res}(K_0)} \text{res}(K_2) \hookrightarrow \text{res}(K_3)$$

is injective.

Corollary

If $K = K^{alg} \models T_P^0$, then K has the same type when embedded into any model of T_P .

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Corollary

The theory T_P is decidable. More generally, the theory of n -multivalued algebraically closed fields is decidable.

Fix $K \models T_P^0$, and let $\varphi(\vec{a})$ be a T_P -formula with parameters $\vec{a} \in K$.

- By almost-q.e., there is a finite normal extension L/K such that, in models of T_P extending K , the truth of $\varphi(\vec{a})$ is determined by how the valuations are extended to L .

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- Let $P(\varphi(\vec{a})|K)$ denote the probability that $\varphi(\vec{a})$ holds in a model of T_P extending a *random* extension of the T_P^0 -valuations to L .

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- Let $P(\varphi(\vec{a})|K)$ denote the probability that $\varphi(\vec{a})$ holds in a model of T_P extending a *random* extension of the T_P^0 -valuations to L .
- This is independent of the choice of L .

Probable truth: key properties

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- Probable truth is automorphism invariant.
- Let L/K be an extension of models of T_P^0 . Suppose that for every $a \in P$, the extension of residue fields with respect to \mathcal{O}_a is relatively algebraically closed. Then

$$P(\varphi(\vec{b})|L) = P(\varphi(\vec{b})|K)$$

for every formula φ and tuple $\vec{b} \in K$.

Fix a finite tree poset P and let N be the number of leaves in the tree.

Theorem

In the theory T_P , the home sort has burden at most $2N$. In other words, there does not exist a model $M \models T_P$, a formula $\varphi(x; \vec{y})$, and an array

$$\begin{array}{ccc} \varphi(x; \vec{b}_{1,1}), & \varphi(x; \vec{b}_{1,2}), & \varphi(x; \vec{b}_{1,3}), \dots \\ & \vdots & \\ \varphi(x; \vec{b}_{2N+1,1}), & \varphi(x; \vec{b}_{2N+1,2}), & \varphi(x; \vec{b}_{2N+1,3}), \dots \end{array}$$

with $2N + 1$ rows and ω columns such that every row is k -inconsistent and every path $\eta : [2N + 1] \rightarrow \omega$ through the rows is consistent.

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By a result of Chernikov, it follows that T_P is strong (hence NTP₂).

Proof sketch

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- After running through all $p \in P$, at least one row

$$\varphi(x; b_0), \varphi(x; b_1), \dots$$

remains. This row is a -indiscernible in every reduct (M, \mathcal{O}_p) .

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- By existential closure of M , and amalgamation over B , we can pull the situation back into M , contradicting k -inconsistency.

Open questions

- If K is an algebraically closed field with n independent valuations, and if we add an NTP_2 structure onto each residue field, is the resulting structure NTP_2 as a whole?

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- If K is a dp-minimal field and $\mathcal{O}_1, \dots, \mathcal{O}_n$ are arbitrary valuations on K , is $(K, \mathcal{O}_1, \dots, \mathcal{O}_n)$ strong?

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- If (K, \dots) is strong and \mathcal{O} is arbitrary, must (K, \dots, \mathcal{O}) be strong?

- Model theory of fields with multiple valuations:
 - Lou van den Dries. “Model theory of Fields: Decidability and Bounds for Polynomial Ideals” 1978. Dissertation
 - Yuri L. Ershov. *Multi-valued Fields*. Springer, 2001.
 - Will Johnson. “Fun with fields” Chapter 11. 2016. Dissertation.
- Background on dp-rank and burden
 - Artem Chernikov. “Theories without the Tree Property of the Second Kind.” *Annals of pure and applied logic*. Feb 2014.
 - Itay Kaplan, Alf Onshuus, and Alexander Usvyatsov. “Additivity of the dp-rank.” *Trans. Amer. Math. Soc.* Nov 2013.