

# New developments in hypergraph Ramsey theory

Dhruv Mubayi

Department of Mathematics, Statistics and Computer Science  
University of Illinois at Chicago

IHP, Paris, January 30, 2018

# Outline

- Classical Ramsey numbers

## Generalizations and Extensions

- Erdős-Hajnal Problem
- Erdős-Rogers Problem
- Erdős-Gyárfás-Shelah Problem
- A proof idea (Stepping up with zigzags)

# Ramsey theory for hypergraphs

## Definition

Given  $k \geq 2$  and  $k$ -uniform hypergraphs  $H_1, H_2$ , the ramsey number

$$r(H_1, H_2)$$

is the minimum  $N$  such that every red/blue coloring of the  $k$ -sets of  $[N]$  results in a red copy of  $H_1$  or a blue copy of  $H_2$ . Write

$$r_k(s, n) := r(K_s^k, K_n^k).$$

# Ramsey theory for hypergraphs

## Definition

Given  $k \geq 2$  and  $k$ -uniform hypergraphs  $H_1, H_2$ , the ramsey number

$$r(H_1, H_2)$$

is the minimum  $N$  such that every red/blue coloring of the  $k$ -sets of  $[N]$  results in a red copy of  $H_1$  or a blue copy of  $H_2$ . Write

$$r_k(s, n) := r(K_s^k, K_n^k).$$

## Observation

*Note that  $r_k(s, n) \leq N$  is equivalent to saying that every  $N$ -vertex  $K_s^k$ -free  $k$ -uniform hypergraph  $H$  has  $\alpha(H) \geq n$ .*

## Small examples

### Example

Graphs:

- $r_2(3, 3) = 6$
- $r_2(4, 4) = 18$
- $r_2(3, 3, 3) = 17$

### Example

Hypergraphs:

- $r_3(4, 4) = 13$  (McKay-Radziszowski 1991)

# Graphs

Theorem (Spencer 1977, Conlon 2008)

$$(1 + o(1)) \frac{\sqrt{2}}{e} n 2^{n/2} < r_2(n, n) < \frac{4^n}{n^c \log n / \log \log n}$$

Theorem (Ajtai-Komlós-Szemerédi 1980, Kim 1995)

$$r_2(3, n) = \Theta\left(\frac{n^2}{\log n}\right)$$

# Graphs

Theorem (Spencer 1977, Conlon 2008)

$$(1 + o(1)) \frac{\sqrt{2}}{e} n 2^{n/2} < r_2(n, n) < \frac{4^n}{n^{c \log n / \log \log n}}$$

Theorem (Ajtai-Komlós-Szemerédi 1980, Kim 1995)

$$r_2(3, n) = \Theta\left(\frac{n^2}{\log n}\right)$$

Theorem

For fixed  $s \geq 3$

$$n^{(s+1)/2+o(1)} < r_2(s, n) < n^{s-1+o(1)}$$

## Hypergraphs - diagonal case

Definition (tower function)

$$\text{twr}_1(x) = x \quad \text{and} \quad \text{twr}_{i+1}(x) = 2^{\text{twr}_i(x)}.$$

Theorem (Erdős-Hajnal-Rado 1952/1965)

$$2^{cn^2} < r_3(n, n) < 2^{2^n}$$

For fixed  $k \geq 3$ ,

$$\text{twr}_{k-1}(cn^2) < r_k(n, n) < \text{twr}_k(c'n)$$

Conjecture (Erdős \$500)

$$r_3(n, n) > 2^{2^{cn}}.$$



# An equivalent statement

## Definition

$P_5$  is the ordered 4-uniform hypergraph with 5 vertices

$$v_1 < v_2 < v_3 < v_4 < v_5$$

and two edges

$$(v_1, v_2, v_3, v_4) \quad \text{and} \quad (v_2, v_3, v_4, v_5).$$

## Theorem (M-Suk 2017)

$$r_3(n, n) > 2^{2^{cn}} \iff \text{or}_4(P_5, n) > 2^{2^{c'n}}.$$

# Ordered tight path versus clique

## Definition

A tight path of size  $s$  is an ordered hypergraph  $H$ , denoted by  $P_s^k$  with  $s$  vertices  $v_1 < \dots < v_s \in [n]$  such that  $(v_j, v_{j+1}, \dots, v_{j+k-1})$  is an edge for  $j = 1, \dots, s - k + 1$ . Let  $or_k(P_s, n) = or(P_s^{(k)}, K_n^{(k)})$ .

## Theorem (M-Suk 2017)

$$r_3 \left( \underbrace{\frac{n}{s-3}, \dots, \frac{n}{s-3}}_{s-3 \text{ times}} \right) \leq or_4(P_s, n) \leq r_3 \left( \underbrace{n, \dots, n}_{s-3 \text{ times}} \right).$$

## Hypergraphs - The off-diagonal conjecture

### Conjecture (Erdős-Hajnal 1972)

For fixed  $s > k \geq 3$  we have  $r_k(s, n) > \text{twr}_{k-1}(cn)$ . In particular,

$$r_k(k+1, n) > \text{twr}_{k-1}(cn).$$

### Theorem (Erdős-Hajnal 1972)

$r_3(4, n) > 2^{cn}$ . Consequently, the conjecture holds for  $k = 3$ .

## Hypergraphs - The off-diagonal conjecture

### Conjecture (Erdős-Hajnal 1972)

For fixed  $s > k \geq 3$  we have  $r_k(s, n) > \text{twr}_{k-1}(cn)$ . In particular,

$$r_k(k+1, n) > \text{twr}_{k-1}(cn).$$

### Theorem (Erdős-Hajnal 1972)

$r_3(4, n) > 2^{cn}$ . Consequently, the conjecture holds for  $k = 3$ .

**Proof.** Let  $T$  be a random graph tournament on  $N$  vertices and form a 3-uniform hypergraph by making each cyclically oriented triangle a hyperedge. There is no  $K_4^{(3)}$  and yet the independence number is  $n = O(\log N)$ . □

# Hypergraphs - The off-diagonal conjecture

## Theorem (Erdős-Hajnal)

*The conjecture holds for  $s = 2^{k-1} - k + 3$ ; i.e.,  $r_4(7, n) > 2^{2^{cn}}$ .*

## Theorem (Conlon-Fox-Sudakov 2009)

*The conjecture holds for  $s = \lceil 5k/2 \rceil - 3$ .*

## Theorem (M-Suk 2017, Conlon-Fox-Sudakov 2017)

*The conjecture holds for all  $s \geq k + 3$ .*

# Hypergraphs - The off-diagonal conjecture

## Theorem (Erdős-Hajnal)

*The conjecture holds for  $s = 2^{k-1} - k + 3$ ; i.e.,  $r_4(7, n) > 2^{2^{cn}}$ .*

## Theorem (Conlon-Fox-Sudakov 2009)

*The conjecture holds for  $s = \lceil 5k/2 \rceil - 3$ .*

## Theorem (M-Suk 2017, Conlon-Fox-Sudakov 2017)

*The conjecture holds for all  $s \geq k + 3$ .*

The open cases are  $r_4(5, n)$  and  $r_4(6, n)$  and their  $k$ -uniform counterparts.

## $r_4(5, n)$ and $r_4(6, n)$

Lower bounds for  $r_4(5, n)$ :

## $r_4(5, n)$ and $r_4(6, n)$

Lower bounds for  $r_4(5, n)$ :

- $2^{cn}$  (implicit in Erdős-Hajnal 1972)



## $r_4(5, n)$ and $r_4(6, n)$

Lower bounds for  $r_4(5, n)$ :

- $2^{cn}$  (implicit in Erdős-Hajnal 1972)
- $2^{cn^2}$  (M-Suk 2017)

## $r_4(5, n)$ and $r_4(6, n)$

Lower bounds for  $r_4(5, n)$ :

- $2^{cn}$  (implicit in Erdős-Hajnal 1972)
- $2^{cn^2}$  (M-Suk 2017)
- $2^{n^{c \log \log n}}$  (M-Suk 2018?)

## $r_4(5, n)$ and $r_4(6, n)$

Lower bounds for  $r_4(5, n)$ :

- $2^{cn}$  (implicit in Erdős-Hajnal 1972)
- $2^{cn^2}$  (M-Suk 2017)
- $2^{n^{c \log \log n}}$  (M-Suk 2018?)
- $2^{n^{c \log n}}$  (M-Suk 2018?)

## $r_4(5, n)$ and $r_4(6, n)$

Lower bounds for  $r_4(5, n)$ :

- $2^{cn}$  (implicit in Erdős-Hajnal 1972)
- $2^{cn^2}$  (M-Suk 2017)
- $2^{n^{c \log \log n}}$  (M-Suk 2018?)
- $2^{n^{c \log n}}$  (M-Suk 2018?)

Lower bounds for  $r_4(6, n)$ :

## $r_4(5, n)$ and $r_4(6, n)$

Lower bounds for  $r_4(5, n)$ :

- $2^{cn}$  (implicit in Erdős-Hajnal 1972)
- $2^{cn^2}$  (M-Suk 2017)
- $2^{n^{c \log \log n}}$  (M-Suk 2018?)
- $2^{n^{c \log n}}$  (M-Suk 2018?)

Lower bounds for  $r_4(6, n)$ :

- $2^{cn}$  (implicit in Erdős-Hajnal 1972)

## $r_4(5, n)$ and $r_4(6, n)$

Lower bounds for  $r_4(5, n)$ :

- $2^{cn}$  (implicit in Erdős-Hajnal 1972)
- $2^{cn^2}$  (M-Suk 2017)
- $2^{n^{c \log \log n}}$  (M-Suk 2018?)
- $2^{n^{c \log n}}$  (M-Suk 2018?)

Lower bounds for  $r_4(6, n)$ :

- $2^{cn}$  (implicit in Erdős-Hajnal 1972)
- $2^{n^{c \log n}}$  (M-Suk 2017)

## $r_4(5, n)$ and $r_4(6, n)$

Lower bounds for  $r_4(5, n)$ :

- $2^{cn}$  (implicit in Erdős-Hajnal 1972)
- $2^{cn^2}$  (M-Suk 2017)
- $2^{n^{c \log \log n}}$  (M-Suk 2018?)
- $2^{n^{c \log n}}$  (M-Suk 2018?)

Lower bounds for  $r_4(6, n)$ :

- $2^{cn}$  (implicit in Erdős-Hajnal 1972)
- $2^{n^{c \log n}}$  (M-Suk 2017)
- $2^{2^{cn^{1/5}}}$  (M-Suk 2018?)

# The off-diagonal conjecture - almost solved

Theorem (M-Suk 2018)

$$r_4(5, n) > 2^{n^{c \log n}}$$

$$r_4(6, n) > 2^{2^{cn^{1/5}}}$$

and for fixed  $k \geq 4$

$$r_k(k+1, n) > \text{twr}_{k-2}(n^{c \log n})$$

$$r_k(k+2, n) > \text{twr}_{k-1}(cn^{1/5})$$

$$r_k(k+1, k+1, n) > \text{twr}_{k-1}(cn).$$



# Many Colors

Theorem (Erdős-Rado, Erdős-Hajnal-Rado, Duke-Lefmann-Rödl, Axenovich-Gyárfás-Liu-M)

For  $s > k \geq 2$  there are  $c$  and  $c'$  with

$$\text{twr}_k(cq) < r_k(\underbrace{s, \dots, s}_{q \text{ times}}) < \text{twr}_k(c'q \log q).$$

Special Case: (Erdős-Szekeres 1935)

$$2^{cq} < r_2(\underbrace{3, \dots, 3}_{q \text{ times}}) < 2^{c'q \log q}.$$

# The Erdős-Hajnal Hypergraph Ramsey Problem

## Definition (Erdős-Hajnal 1972)

For  $1 \leq t \leq \binom{s}{k}$ , let  $r_k(s, t; n)$  be the minimum  $N$  such that every red/blue coloring of the  $k$ -sets of  $[N]$  results in an  $s$ -set that contains at least  $t$  red  $k$ -subsets or an  $n$ -set all of whose  $k$ -subsets are blue (i.e., a blue  $K_n^k$ ).

## Example

$$r_k\left(s, \binom{s}{k}; n\right) = r_k(s, n)$$

# The Erdős-Hajnal Hypergraph Ramsey Problem

## Problem (Erdős-Hajnal 1972)

*As  $t$  grows from 1 to  $\binom{s}{k}$ , there is a well-defined value  $t_1 = h_1^{(k)}(s)$  at which  $r_k(s, t_1 - 1; n)$  is polynomial in  $n$  while  $r_k(s, t_1; n)$  is exponential in a power of  $n$ , another well-defined value  $t_2 = h_2^{(k)}(s)$  at which it changes from exponential to double exponential in a power of  $n$  and so on, and finally a well-defined value  $t_{k-2} = h_{k-2}^{(k)}(s) < \binom{s}{k}$  at which it changes from  $\text{twr}_{k-2}$  to  $\text{twr}_{k-1}$  in a power of  $n$ .*

# The Erdős-Hajnal Hypergraph Ramsey Conjectures

## Conjecture (Erdős-Hajnal \$500)

*The first jump  $h_1^{(k)}(s)$  is one more than the number of edges in the  $k$ -uniform hypergraph obtained from a complete  $k$ -partite  $k$ -uniform hypergraph on  $s$  vertices with almost equal part sizes, by repeating this construction recursively within each part.*

## Conjecture (Erdős-Hajnal)

$$h_i^{(k)}(k+1) = i+2 \quad \iff \quad r_k(k+1, t; n) = \text{twr}_{t-1}(n^{\Theta(1)}).$$

## Theorem (Erdős-Hajnal)

$$2^{cn} < r_k(k+1, t; n) < \text{twr}_{t-1}(n^{c'}).$$

# Stepping up

## Conjecture (Erdős-Hajnal 1972)

$$r_k(k+1, t; n) = \text{twr}_{t-1}(n^{\Theta(1)}).$$

## Theorem (M-Suk 2018)

For fixed  $3 \leq t \leq k-2$ ,

$$\text{twr}_{t-1}(n^{k-t+1+o(1)}) > r_k(k+1, t; n) > \begin{cases} \text{twr}_{t-1}(n^{k-t+1+o(1)}) \\ \text{twr}_{t-1}(n^{(k-t+1)/2+o(1)}) \end{cases}$$

where the first inequality is when  $k-t$  is even and the second when  $k-t$  is odd.

# The Erdős-Rogers Problem

## Definition

A  $t$ -independent set in a  $k$ -uniform hypergraph  $H$  is a vertex subset that contains no  $K_t^k$ . When  $t = k$  it is just an independent set. Write  $\alpha_t(H)$  for the maximum size of a  $t$ -independent set in  $H$ .

## Definition (Erdős-Rogers function 1962)

$$f_{t,s}^k(N) = \min\{\alpha_t(H) : |V(H)| = N, K_s^k \not\subset H\}.$$

## Example

$$f_{2,3}^2(N) < n \iff \exists K_3\text{-free } G \text{ with } N \text{ vertices and } \alpha(G) < n.$$

$$r_k(s, n) = \min\{N : f_{k,s}^k(N) \geq n\}.$$

# Graphs

Observation (Dudek-M 2014)

$$f_{s,s+1}^2(N) > c \left( \frac{N \log N}{\log \log N} \right)^{1/2}.$$

Theorem (Wolfowitz 2013, Dudek-Retter-Rödl 2014)

$$f_{s,s+1}^2(N) = N^{1/2+o(1)}.$$

Definition (Inverse tower function – all logs base 2)

$$\log_{(1)}(x) = \log x \quad \text{and} \quad \log_{(i+1)}(x) = \log(\log_{(i)} x).$$

# Hypergraphs

Theorem (Dudek-M 2014)

$$c_1(\log_{(k-2)} N)^{1/4} < f_{k+1,k+2}^k(N) < c_2(\log N)^{1/(k-2)}.$$

Conlon-Fox-Sudakov (2015) improved the  $1/4$  to  $1/3$ .



# Hypergraphs

## Theorem (Dudek-M 2014)

$$c_1(\log_{(k-2)} N)^{1/4} < f_{k+1,k+2}^k(N) < c_2(\log N)^{1/(k-2)}.$$

Conlon-Fox-Sudakov (2015) improved the  $1/4$  to  $1/3$ .

## Theorem (M-Suk 2018)

Fix  $k \geq 14$ . Then

$$f_{k+1,k+2}^k(N) < c \log_{(k-13)} N.$$

# The Erdős-Gyárfás-Shelah problem

Definition (Erdős-Shelah 1974, Erdős 1981, Erdős-Gyárfás 1997)

For  $2 \leq q \leq \binom{p}{k}$ , let  $f_k(N, p, q)$  be the minimum number of colors needed to color the edges of  $K_N^k$  such that the edges of every  $K_p^k$  receive at least  $q$  distinct colors.

Example

$$f_2(N, 3, 3) = \begin{cases} N & N \text{ odd} \\ N - 1 & N \text{ even} \end{cases}$$

Observation

$$f_k(N, p, 2) \leq t \quad \iff \quad r_k(\underbrace{p, \dots, p}_{t \text{ times}}) \geq N + 1$$

## The case $q = p - 1$

Problem (Erdős-Gyárfás 1997)

$$f_2(N, p, p - 1) = N^{o(1)}?$$

## The case $q = p - 1$

Problem (Erdős-Gyárfás 1997)

$$f_2(N, p, p - 1) = N^{o(1)}?$$

- $f_2(N, 4, 3) = o(N)$  (Elekes-Erdős 1981)
- $f_2(N, 4, 3) = O(\sqrt{N})$  (Erdős-Gyárfás 1995)

## The case $q = p - 1$

### Problem (Erdős-Gyárfás 1997)

$$f_2(N, p, p - 1) = N^{o(1)}?$$

- $f_2(N, 4, 3) = o(N)$  (Elekes-Erdős 1981)
- $f_2(N, 4, 3) = O(\sqrt{N})$  (Erdős-Gyárfás 1995)

### Theorem (M 1998)

$$f_2(N, 4, 3) = e^{O(\sqrt{\log n})} = N^{o(1)}.$$

### Theorem (Conlon-Fox-Lee-Sudakov 2015)

$$f_2(N, p, p - 1) = N^{o(1)}.$$

# Hypergraphs

Problem (Conlon-Fox-Lee-Sudakov 2015)

$$f_3(N, p, p-2) = (\log N)^{o(1)} \quad (= 2^{o(\log \log N)})?$$

Note that the case  $p = 4$  above is easy as  $r_3(\underbrace{4, \dots, 4}_{t \text{ times}}) > 2^{2^{ct}}$ .

# Hypergraphs

## Problem (Conlon-Fox-Lee-Sudakov 2015)

$$f_3(N, p, p-2) = (\log N)^{o(1)} \quad (= 2^{o(\log \log N)})?$$

Note that the case  $p = 4$  above is easy as  $r_3(\underbrace{4, \dots, 4}_{t \text{ times}}) > 2^{2^{ct}}$ .

## Theorem (M-2016)

$$f_3(N, 5, 3) = 2^{O(\sqrt{\log \log N})}.$$

# The Erdős-Hajnal Problem

## Theorem (M-Suk)

For fixed  $3 \leq t \leq k - 2$ ,

$$r_k(k + 1, t; n) > \begin{cases} \text{tWR}_{t-1}(n^{k-t+1+o(1)}) \\ \text{tWR}_{t-1}(n^{(k-t+1)/2+o(1)}) \end{cases}$$

where the first equality is when  $k - t$  is even and the second when  $k - t$  is odd.



## Construction when $k - t$ is even

### Theorem (M-Suk)

Let  $k \geq 6$  and  $t \geq 4$ . If we are not in the case when  $t = 4$  and  $k$  is odd, then

$$r_k(k+1, t; 2kn) > 2^{r_{k-1}(k, t-1; n)-1}.$$

Proof:

- $N = r_{k-1}(k, t-1; n) - 1$
- Let  $\phi$  be a red/blue coloring of the edges of  $K_N^{k-1}$  with no  $k$ -set with  $t-1$  red edges and no blue  $K_n^{k-1}$ .
- Given  $\phi$ , we will produce a red/blue coloring  $\chi$  on the edges of  $K_{2N}^k$  with no  $(k+1)$ -set with  $t$  red edges and no blue  $K_{2kn}^k$ .

# Preparation

- Let  $V(K_N^{k-1}) = [N]$  and  $V(K_{2N}^k) = \{0, 1\}^N$ . Order the vectors according to the integer they represent in binary.
- For  $a, b \in V(K_{2N}^k)$ , where  $a \neq b$ , let  $\delta(a, b)$  denote the least  $i$  for which  $a(i) \neq b(i)$ .

## Example

$$a = (1, 0, 0, 1, 1) < (1, 0, 1, 1, 0) = b \text{ and } \delta(a, b) = 3.$$

- Given  $a_1 < a_2 < \dots < a_m$ , consider  $\delta_i = \delta(a_i, a_{i+1})$ . We say that  $\delta_i$  is a *local minimum (maximum)* if

$$\delta_{i-1} > \delta_i < \delta_{i+1} \quad (\delta_{i-1} < \delta_i > \delta_{i+1}).$$

# The Coloring

Given an edge  $e = (a_1, \dots, a_k)$  in  $V = V(K_{2^N}^k)$ , where  $a_1 < \dots < a_k$ , let  $\delta_i = \delta(a_i, a_{i+1})$ .

Then  $\chi(e) = \text{red}$  if

- the sequence  $\delta(e)$  is monotone and  $\phi(\delta_1, \dots, \delta_{k-1}) = \text{red}$ , or
- the sequence  $\delta(e)$  is a *zigzag*, meaning  $\delta_2, \delta_4, \dots$  are local minimums and  $\delta_3, \delta_5, \dots$  are local maximums. In other words,

$$\delta_1 > \delta_2 < \delta_3 > \delta_4 < \delta_5 > \dots .$$

Otherwise  $\chi(e) = \text{blue}$ .

Thank You!!!